

EXHIBIT I

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: MarylandCASE MANAGEMENT SERVICES
for Pregnant Substance Abusing Women

A. Target Group: (see attached)

B. Areas of State in Which Services Will Be Provided:

/ X / Only in the following geographic areas (authority of §1915(g)(1) of the Act is invoked to provide services less than statewide):

Zip-codes of 21201, 21202, 21205, 21206, 21213, 21218, 21224,
21231, and 21237 in Baltimore City and Baltimore County

C. Comparability of Services:

Services are provided in accordance with §1902(a)(10)(B) of the Act.

/ X / Services are not comparable in amount, duration and scope. Authority of §1915(g)(1) of the Act is invoked to provide services without regard to the requirements of §1902(a)(10)(B).

D. Definition of Services:

(see attached)

E. Qualifications of Providers:

(see attached)

F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of §1902(a)(23) of the Act.

1. Eligible recipients will have free choice of the providers of case management services.

2. Eligible recipients will have free choice of the providers of other medical care under the plan.

G. Payment for case management services under the plan shall not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

A. Target Group: Women who are certified for and are receiving Maryland's Medical Assistance benefits and who:

1. Are receiving Aid to Families with Dependent Children;
2. Elect to participate in the Demonstration Project (e.g. "A Randomized Clinical Trial of Two Innovative Outreach Strategies for Pregnant Substance Abusers") starting before the 28th week of the recipient's pregnancy and ending by the 7th month following delivery or termination of the pregnancy;
3. Are 18 to 45 years old;
4. Satisfy the DSM-III-R criteria, or any subsequent revision of the American Psychological Association Diagnostic and Statistical Manual, for illicit psychoactive substance abuse or dependence;
5. Are not enrolled in a drug abuse treatment program at the time of entry into the Demonstration Project;
6. Sign a voluntary consent to participate in the Demonstration Project;
7. Have a current, documented need, as determined through direct evaluation by the Demonstration Project's research interview staff and a prenatal care clinic's medical staff, for a case manager's assistance with:
 - a. Accessing needed services,
 - b. Modifying service delivery, or
 - c. Sustaining involvement with needed services;
8. Are not receiving similar case management services under Sections 1915(c) or 1915(g) under Title XIX of the Social Security Act; and
9. Elect to receive these case management services.

D. Definition of Services:

1. "Case management" means services which assist participants in gaining access to the full range of Medical Assistance services as well as to any additional needed medical, substance abuse counseling, financial, social, housing, educational (including specialized training), vocational, mental health, legal, and other support services (such as day care or maternity home care).
2. Maryland Medical Assistance will reimburse for the following services when they have been documented as necessary and appropriate.
3. Initial Plan of Service and Three-Month Revision

- a. An initial, face-to-face interview with the participant, representative, and family, as appropriate;
- b. A review of all pertinent records, with the participant's consent;
- c. A needs analysis to assist in development of the plan of service, which reviews the participant's full range of service needs; and
- d. Development of an initial plan of service or a three-month revision, with the participant's input and approval.

4. Ongoing Case Management

- a. Ongoing case management is the case manager's monthly provision of case management services to a participant. It may occur in conjunction with the development or revision of the plan of service.
- b. The covered services include, as necessary:
 - (1) Advising the participant about the available services and service providers and assisting the participant in choosing among them;
 - (2) Making referrals to and arrangements with service providers selected by the participant or, when feasible, assisting the participant in forming linkages with chosen resources;
 - (3) Acting as the participant's advocate and assisting the participant, as necessary, in gaining access to services recommended in the plan of service and chosen by the participant;
 - (4) Coordinating, with the participant's consent, implementation of the plan of service with the participant's family and with service providers, as appropriate;
 - (5) Discussing with service providers the services needed and available for the participant;
 - (6) Providing the participant with any necessary information concerning how to access and utilize the services recommended for the participant in the plan of service;
 - (7) Following up promptly after the participant's referral to service providers and then monitoring the participant's status and the service delivery on an ongoing basis to determine whether the services are received in accordance with the plan of service, are appropriate in quantity and

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quality, are provided in the least intrusive and restrictive manner possible, and meet the goals stated in the plan of service;

(8) Maintaining contact with the participant and with service providers through regular telephone contact, face-to-face visits with the participant at least every three months, and regular review of relevant records with the participant's written consent;

(9) Coordinating service provision, identifying obstacles which impede implementation of the participant's plan of service and utilization of services, and resolving conflict;

(10) Assessing on an ongoing basis the participant's condition, progress in meeting the plan of service's goals, and need for services, to determine whether any revision is needed in the plan of service or in the service delivery;

(11) Encouraging and facilitating the participant's use of informal resources;

(12) Facilitating, on a participant's behalf, interagency collaboration and cooperation, and encouraging the participant's use of generic supports, so that needed services and resources may be accessed in the most appropriate, effective, and cost-efficient manner possible; and

(13) Identifying obstacles which impede implementation of the plan of service's goals and then documenting efforts to overcome the obstacles.

E. Qualifications of Providers:

1. Providers of Case Management for Pregnant Substance Abusing Women offer covered case management services to participants through a provider agreement signed with the Department of Health and Mental Hygiene. They are identified as Program providers by issuance of an individual account number.

2. General requirements for participation in the Program are that a provider must meet all the conditions for participation as set forth in COMAR 10.09.36 General Medical Assistance Program Participation Criteria.

3. Specific requirements for participation in the Program as a provider of Case Management for Pregnant Substance Abusing Women include all of the following:

a. Place no restrictions on the qualified recipient's right to elect to receive Case Management for Pregnant Substance Abusing

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women and to choose a case management provider and other service providers;

- b. Sign a memorandum of understanding with the Department to participate in the Demonstration Project and agree to report quarterly all administrative and case management service costs;
- c. Demonstrate at least one year of experience with provision of substance abuse services to pregnant substance abusing women;
- d. Designate specific, qualified employees as case managers and as case management supervisors and verify their credentials;
- e. Employ as case managers those individuals who have at least a bachelor's degree in social work, psychology, education, or another related human services field;
- f. Employ as case management supervisors those individuals who have at least a master's degree in social work, psychology, education, or another related human services field; administrative experience; and experience and knowledge of treatment of substance abuse and of case management techniques;
- g. Have sufficient administrative and supervisory staff to ensure the quality of case management services and the adherence to applicable State and federal regulations;
- h. Provide for orientation and ongoing in-service training of staff and assure that all case managers and case management supervisors, within three months of hiring, participate in training as specified by the Department;
- i. Be selected as the provider in writing by the participant from among all qualified providers;
- j. Have normal operating hours of at least 8 hours a day, 5 days a week, except on holidays;
- k. Have a means for participants to contact the provider in the event of an emergency at times other than normal operating hours and, otherwise, be available to participants on an unscheduled basis as the need arises;
- l. Be knowledgeable of the eligibility requirements and application procedures of federal, State, and local government assistance programs which are applicable to participants;
- m. Maintain a current, easily accessible directory identifying community services available to participants;

n. Have written plans, procedures, and policies approved by the Department for implementation and ongoing provision of Case Management for Pregnant Substance Abusing Women;

o. Assure that a plan of service is developed within 30 days of a participant's enrollment in this program, reviewed by the case manager as often as necessary but at least at three month intervals, revised by the case manager as often as necessary, and reviewed by the case management supervisor when the plan is initially developed and whenever it is revised;

p. Maintain a file on each participant which meets the Program's requirements and includes at least an initial referral and intake form, a written agreement for services signed by the participant and by the participant's case manager, a plan of service and any updates and revisions, and an ongoing record of all contacts made in the participant's behalf;

q. Have the capability to maintain individual case and financial records in accordance with applicable State and federal laws and to manage a financial system which provides documentation of services and costs;

r. Comply with the Department's fiscal reporting requirements; and

s. Submit all required forms, records, and reports in a manner specified by the Department.